Dative case with infinitives in Russian
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Dative infinitive constructions (1) have been analyzed from different perspectives: the subject-like status of the dative argument, the clausal structure of the construction and the nature of the dative case. In this talk I address the last issue. I argue that dative here can be best accounted for as one of the unmarked cases within the dependent case family of approaches (Marantz 1991, McFadden 2004, Baker 2015).

(1) Ivanu ∅/bylo/budet ne postupit’ v universitet.
   Ivan.DAT is/was/will.be NEG enter.INF into university
   ‘It is/was/will be impossible for Ivan to enter university.’

Dative cannot be lexically assigned here or linked to a θ-role since it is not selected by a particular verb. It cannot simply come from TINF either: controlled infinitives never have a dative PRO in Russian: in (2a) ‘alone’ is always nominative, while in (2b) it has to be dative. Thus, it shows agreement with the subject of the main clause by virtue of its being coindexed with PRO which is controlled by ja or mne ‘I’.

(2) a. Jai xoču [PROi rabotat’ odin/*odnomu]
   I.NOM want work.INF alone.NOM/*alone.DAT
   ‘I want to work alone’

   b. Mnei xočetsa [PROi rabotat’ *odin/odnomu]
   I.DAT want work.INF *alone.NOM/alone.DAT
   ‘I want to work alone’

I adopt the monoclausal analysis of the construction: the dative argument and the infinitive are in the same clause. Fleisher (2006) and Jung (2009) provide some arguments for biclausality but I show some counterevidence. I propose that dative is assigned to a DP in [Spec, TP] as an unmarked case under the following conditions:

(3) i. it is c-commanded by CINF; ii. [Spec, TP] is overt or pro but not PRO.

The derivation of (1) would then proceed as follows:

(4) i. Merge {v, VP}, where VP = postupit’ v universitet ➔ Spell out VP
   ii. Merge {DP Ivan, v’}; Merge {Neg, vP}; Merge {T, NegP};
   iii. Copy DP Ivan; Merge {DP Ivan, T’}
   iv. Merge {CINF, TP} ➔ Spell out TP: assign DAT to DP Ivan – Ivanu

There is no evidence that PRO in Russian can get any case, so I assume that it is inherently caseless, which conforms to the traditional notion of PRO dating back to Chomsky (1981). Thus, when PRO enters a derivation, there is simply nothing to be assigned case, hence the ungrammaticality of (2a) with odnomu ‘alone.DAT’ and the restriction in (3ii).

This model has the advantage of unifying all the possible dative infinitive constructions despite their differences in meaning and without resorting to multiple functional heads responsible for these differences. It also explains why this dative looks just as structural as nominative does in finite clauses (Germain 2017). Another option entertained in Tsedryk (2017) is an Appl head between CP and TP where the external argument moves to get DAT. The modal semantics result from the interaction of functional heads (CINF, T, Neg). Though I agree with this compositional view, I do not think that ApplP is well justified here because it is unusually high in the structure and there is no independent evidence for positing it other than the dative case itself. Besides, the infinitival dative has very little in common with the applicative/indirect object datives, for which this head was initially proposed (see e.g. Marantz 1993, Pylkkänen 2008).

This study has certain implications for the theory of case. The results show that dependent case approaches need to be further incorporated into syntactic theory because they can better
account for some facts than the more traditional functional head based approaches to case. They can also be used to evaluate syntactic vs. postsyntactic approaches to case.

Selected references


